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Attorney Docket No.: 19744P-000300US

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07-18-03

TOWNSEND and TOWNSEND and CREW LLP

By: Edward Masmas

**Edward Masinas** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MICHAEL A. EVANS et al.

Application No.: 09/388,294

Filed: September 1, 1999

For: METHODS AND APPARATUS FOR ACCESSING AND TREATING

**BODY LUMENS** 

Examiner: SIRMONS, K.

Art Unit: 3763

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

**RECEIVED** 

JUL 2 8 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

**TECHNOLOGY CENTER R3700** 

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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MICHAEL A. EVANS et al. Application No.: 09/388,294

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

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PA 3317737 v1

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Substitute for form 1449/PTO		Complete if Known			
	Application Number	09/388,294			
<b>一般FORMATION DISCLO</b>		September 1, 1999			
STATEMENT BY APPLIC	First Named Inventor	EVANS, MICHAEL A., et. al.			
CHAPT.	Art Unit	3763			
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Page 3 of	Attorney Docket Number	19744P-000300US			

		Document Number	Publication Date MM-DD-YYYY	ı ı	
Examiner	Cite No.1	Number Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-4,995,866	02-26-1991	Amplatz et al.	
	AB	US-5,171,233	12-15-1992	Amplatz et al.	
	AC	US-5,591,226	01-07-1997	Trerotola et al.	
	AD	US-5,755,775	05-26-1998	Trerotola et al.	
	AE	US-5,769,816	06-23-1998	Barbut et al.	

Foreign Patent Doct  Country Code <sup>3</sup> Number <sup>4</sup> AF WO 97/17100  AG WO 99/04701	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY 05-15-1997 024-1999	Name of Patentee or Applicant of Cited Document Barbut et al.	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
1	A1	MM-DD-YYYY 05-15-1997	Applicant of Cited Document	Passages or Relevant	T <sup>6</sup>
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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TRANSMITTAL		Filing Date		September 1, 1999		
FORM		First Named Inventor		EVANS, MICHAEL A.		
(to be used for all correspondence after initial filing)		Art Un	it	3763		
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Individual	James M. Heslin			Reg. No	). 29,541	
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